



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Information Disclosure Statement

In re patent application of: **Wang Nang WANG et al.**

Serial No.: To Be Assigned Art Unit: To Be Assigned

Filed: January 3, 2002

Title: VERTICAL CAVITY SURFACE EMITTING SEMICONDUCTOR LASER WITH TRIANGLE PRISM OPTICAL CAVITY RESONATOR

Honorable Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In compliance with the disclosure duty under 37 CFR §§ 1.56 and 1.97, the documents listed on the accompanying PTO-1449 form are enclosed. If a listed document is not in the English language and an English translation is readily available, such translation is also enclosed and attached to the copy of the document. If a translation is not attached, it is not readily available to the undersigned. If a foreign language patent document is cited, and an English language equivalent is known to the undersigned, then the English equivalent patent is also listed on the enclosed form along with the corresponding foreign language patent and a connecting arrow indicates the relationship between them. If no such English language equivalent is cited, then none is known to the undersigned. These documents contain information, which the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

In accordance with MPEP Sections 609 and 707.05(b) it is requested that each document cited (including any cited in applicants' specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449, so that it will appear on the face of the patent issuing on the present application, even if the Examiner does not consider it sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with.

The present Disclosure Statement is also being submitted in compliance with 37 CFR § 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents are relevant

or effective as prior art, or that they represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR §§ 1.104(a) and 1.106(b), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

It is believed that no fee is required with this Information Disclosure Statement. Early and favorable consideration is earnestly solicited.

Respectfully submitted,



Ya-Chiao Chang
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Attorney for Applicant

Dated: January 3, 2002

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LIST OF REFERENCES CITED BY APPLICANT
 (Use Several Sheets If Necessary)

Reference No.: 0008/0A011 SERIAL NO: To be assigned
APPLICANT: WANG et al. FILING DATE: January 3, 2002



U.S. PATENT DOCUMENTS

| *EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
|--------------------|-----------------|------|------|-------|----------|-------------|
|--------------------|-----------------|------|------|-------|----------|-------------|

FOREIGN PATENT DOCUMENTS

| *EXAMINER INITIALS | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES | NO |
|--------------------|-----------------|------------|----------------|---------------------|----|
| _____ | CN1267106 | 09-20-2000 | P.R. CHINA | YES (Abstract Only) | |
| _____ | GB2350721 | 08-24-2000 | UNITED KINGDOM | | |
| _____ | GB2352326 | 05-28-2000 | UNITED KINGDOM | | |

OTHER REFERENCES

(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)

*EXAMINER INITIALS

EXAMINER: _____ DATE CONSIDERED: _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.